


1772

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT	Docket No. 04558.053001
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In Re Application Of: **Shoji NAKAMURA, et al.**

Serial No. 09/911,855	Filing Date July 23, 2001	Examiner RHEE, Jane J.	Group Art Unit 1772
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Invention: **MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING THE SAME**


22511
PATENT TRADEMARK OFFICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

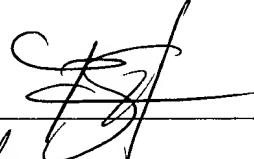
Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

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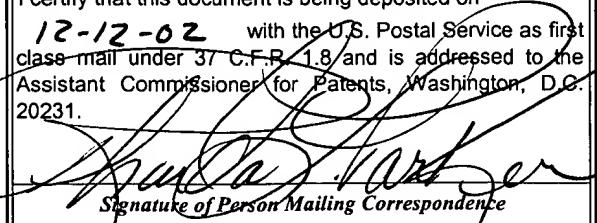
Dated: **12/11/02**

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Signature of Person Mailing Correspondence

Rhonda L. Parker
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CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 04558/053001
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Re Application Of: **Shoji NAKAMURA et al.**

Serial No. 09/911,855	Filing Date July 23, 2001	Examiner RHEE, Jane J.	Group Art Unit 1772
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Title: **MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING THE SAME**


22511
PATENT TRADEMARK OFFICE

#8

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

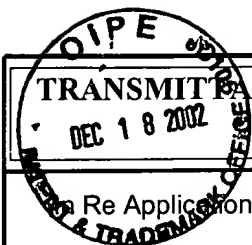
2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04558/053001

Re Application: Shoji NAKAMURA et al.

Serial No.

09/911,855

Filing Date

July 23, 2001

Examiner

RHEE, Jane J.

Group Art Unit

1772

**MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING
THE SAME**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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